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| Application Number:                  | 10772103                                       |  |  |  |
| International Application Number:    |  |  |  |  |
| Confirmation Number:                 | 4856   |  |  |  |
| Title of Invention:                  | Method and system for correlation risk hedging |  |  |  |
| First Named Inventor/Applicant Name: | Cyril Deretz                                   |  |  |  |
| Customer Number:                     | 23377  |  |  |  |
| Filer:                               | Michael James Swope/Masako Calico              |  |  |  |
| Filer Authorized By:                 | Michael James Swope                            |  |  |  |
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| Application Type:                    | Utility under 35 USC 111(a)                    |  |  |  |

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|---|--|--|-------------------------------------|---------------------|---------------------|
| 1                                       | 1 Extension of Time                                | 200004245                                    | 398278                              |                     |                     |
| 1 Extension of Time                     | 20090424Extension.PDF                              | e2aba5f3f236e505ef42677501081520eea9<br>656b | no                                  | 2                   |                     |
| Warnings:                               |  |  |                                     | •                   |                     |
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| 2                                       |  | 20000 42 4D and a DDF                        | 142656                              |                     |                     |
| 2                                       | 20090424Reply.PDF                                  | e463b79533fdeb3224aeadcab2a1f878bf90<br>ace7 | yes                                 | 11                  |                     |
|   | Multip   | art Description/PDF files in                 | zip description                     |                     |                     |
|   | Document Description                               |  | Start                               | End                 |                     |
|   | Amendment Submitted/Entered with Filing of CPA/RCE |  | 1                                   | 1                   |                     |
|   | Claims   |  | 2                                   | 5                   |                     |
|   | Applicant Arguments/Remarks Made in an Amendment   |  | 6                                   | 11                  |                     |
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| Information:                            |  |  |                                     |                     |                     |
| Request for Continued Examination (RCE) | 20090424Rce.PDF                                    | 90185  | . no                                | 3                   |                     |
|   |  | f2cdd04cc4923246943131b7f75d888f221a<br>1a86 |                                     |                     |                     |
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| 4                                       | 4  | fee-info.pdf                                 | 31746                               | no                  | 2                   |
| 4 Fee Worksheet (PTO-875)               | ree-inio.pui                                       | 71dea412289a233c808b59200d864571628<br>5dbd8 | no                                  | 2                   |                     |
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## New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

### New International Application Filed with the USPTO as a Receiving Office

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